

IFW

**CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence, pursuant to 37 C.F.R. §1.8, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, MAIL STOP AMENDMENT, PO Box 1450, Alexandria, VA 22313-1450, on:

DATE: 10/28/2004

BY: Julie A. Eslick



**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §§1.97-1.98**

**PATENT APPLICATION**

Applicant(s): Masahiro ISHIDA, et al.  
Serial No.: 10/711,698  
Group Art Unit: TBD  
TITLE: SEMICONDUCTOR DEVICE AND  
SEMICONDUCTOR SUBSTRATE,  
AND METHOD OF FABRICATING  
THE SAME

Docket No.: 28569.4136  
Filing Date: September 30, 2004  
Confirmation No.: 5697  
Examiner: TBD

Commissioner for Patents  
MAIL STOP AMENDMENT  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, the reference or references listed and identified on the attached PTO-1449 based form are being submitted here for consideration by the Examiner. Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior Parent Application, U.S. Serial No. 09/395,261, which application was filed on September 14, 1999, and issued as U.S. Patent No. 6,617,182 on September 9, 2003, which is relied upon for an earlier filing date under 35 U.S.C. §120. Therefore, according to 37 C.F.R. §1.98(d), a copy of the cited references are not required.

The reference or references are being cited only in the interest of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention

or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art.

This Information Disclosure Statement (IDS) is being filed under 37 C.F.R. §1.97(b)(1) as each item of information contained in this disclosure is being submitted within three months of the filing of this application, as such no fee should be required. If Applicant has overlooked any fees, however, the Commissioner is hereby authorized to debit Deposit Account No. 19-2814 for the same. **A duplicate copy of this Information Disclosure Statement is enclosed for this purpose.**

If there are any questions concerning this IDS, the Examiner is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the United States Patent and Trademark Office is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 19-2814.

Respectfully submitted,

Date: 10-28-04

By S. Kelly for  
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Reg. No. 32,848  
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45875

Enclosures: PTO-1449  
Patent copies

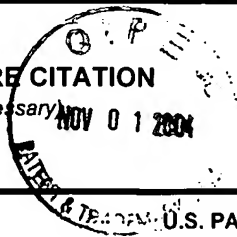
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**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)



ATTY DOCKET NO.

28569.4136

SERIAL NO.

10/711,698

Masahiro ISHIDA, et al.

FILING

September 30, 2004

GROUP

TBA

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 505 093 A2	09/23/1992	EPO				
	0 609 799 A2	08/10/1994	EPO				
	2000-21789 A	01/21/2000	Japan				
	11-312825	11/11/1999	Japan				
	11-340508	12/10/1999	Japan				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>28569.4136</b>	SERIAL NO. <b>10/711,698</b>
	<b>Masahiro ISHIDA, et al.</b>	
	FILING <b>September 30, 2004</b>	GROUP <b>TBA</b>

<b>U.S. PATENT DOCUMENTS</b>
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

<b>FOREIGN PATENT DOCUMENTS</b>
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		<b>06-268257 A</b>	<b>09/22/1994</b>	<b>Japan</b>				
		<b>10-312971 A</b>	<b>11/24/1998</b>	<b>Japan</b>				

<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
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<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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